



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/822,683	03/30/2001	Roger Glenn Brown	066922.0148

CONFIRMATION NO. 9231

## FORMALITIES LETTER



\*OC000000006059488\*

Robert W. Holland  
Baker Botts L.L.P.  
Suite 600  
2001 Ross Avenue  
Dallas, TX 75201-2980

Date Mailed: 05/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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*A copy of this notice **MUST** be returned with the reply.*

NK

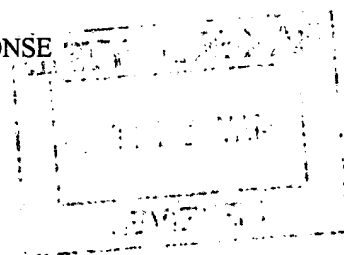
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/14/2001 NYUSUF1 00000050 09822683

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65.00 OP





08-10-01

NH Sector

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Roger G. Brown, et al.  
Serial Number: 09/822,683  
Date Filed: March 30., 2001  
Group Art: unknown  
Examiner: Unknown  
Title: **METHOD AND SYSTEM FOR A DIGITAL  
AUTOMATED EXCHANGE**

Box Missing Parts  
Honorable Assistant Commissioner  
of Patents  
Washington, D.C. 20231

I hereby certify that this Response to Missing Parts is being deposited with the United States Postal Service as Express Mail (#EL740055063US) addressed to: Box Missing Parts, Assistant Commissioner of Patents, Washington, D.C. 20231, on the date shown below.

  
Amy Rueff  
08/09/01

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

In response to the Notice to File Missing Parts of Application mailed May 9, 2001, Applicants respectfully submit the following:

- a) A copy of the Notice to File Missing Parts of Application mailed May 9, 2001;
- b) An executed Declaration and Power of Attorney for the above patent application, which was filed March 30, 2001;
- c) A check in the amount of \$65.00 to cover the fee for missing parts (small entity);
- d) An executed Assignment for the above patent application;

ATTORNEY'S DOCKET  
066101.0198

PATENT  
09/741,701

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e) An executed Assignment Cover Sheet (PTO-1595); and  
f) A check in the amount of \$40.00 for recording the  
Assignment.

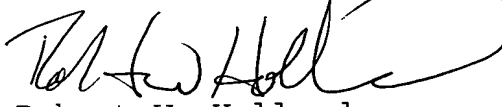
g) An extension of one (1) month is requested and a  
Notification of Extension of Time Under 37 C.F.R. § 1.136 and  
the appropriate fee are attached hereto.

Applicants believe all necessary items have now been  
forwarded to the U.S. Patent and Trademark Office for filing  
in the above identified patent application.

The Commissioner is hereby authorized to charge any fees  
or credit any overpayments to Deposit Account No. 02-0384 of  
BAKER BOTTS L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants



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Reg. No. 40,020

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Date: 9 Aug 2007